



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

(Attorney Docket No.: 109779.134)

Applicant(s): Newnam, et al.

Serial No.: 09/931,590

Examiner: Not Yet Assigned

Filed: August 16, 2001

Group Art Unit: 2155

For: SYSTEM AND METHOD FOR RECORDING AND PLAYING  
BACK INTERACTIVE CONTENT DURING A BROADCAST EVENT

**RECEIVED**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

JUL 25 2003

Technology Center 2100

**CERTIFICATE UNDER 37 C.F.R. 1.8(a)**

I hereby certify that this correspondence is being deposited as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date set forth below.

7/21/03  
Date

Anthony W. Sutton  
Anthony W. Sutton

**THIRD INFORMATION DISCLOSURE STATEMENT**

Commissioner:

Applicants and their legal representatives hereby make of record on the attached PTO Form-1449 the following publications, which are known to them and considered warranting disclosure under 37 C.F.R. §1.56. Copies of each of these publications are enclosed.

It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publications be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In this regard, it is requested that the Examiner initial and return a copy of the enclosed Form PTO-1449 with the next Patent Office Communication.

This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to patentability or that the listed documents are prior art. If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

09/931,590  
Newnam, et al.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits and is therefore submitted as both timely and proper; therefore, no fees are believed to be due.

The Commissioner, however, is hereby authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. 08-0219.

Respectfully submitted,

Date: 7-21-03



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PATENTS  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No.: 109779.134)

2155  
#9  
SP  
7-29-03

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Commissioner:

Enclosed for filing are the following documents:

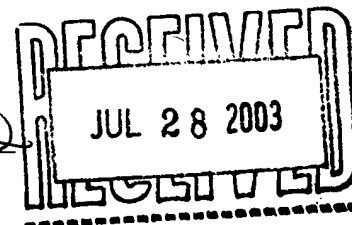
- 1.) Third Information Disclosure Statement;
- 2.) Form PTO 1449, including 5 References; and
- 3.) Return Postcard.

Please charge any deficiency or credit any overpayment in connection with this matter to  
Deposit account No. 08-0219.

Respectfully submitted,

Date: 7-21-03

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Subt. Form PTO-1449				Docket Number 109779.134		Application Number 09/931,590	
INFORMATION DISCLOSURE IN AN APPLICATION  (Use several sheets if necessary)				Applicant Newnam, et al.			
				Filing Date August 16, 2001		Group Art Unit 2155	
Sheet	1	OF	1				

U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

Foreign Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 02/17642	Feb. 28, 2002	PCT				
	WO 00/79365	Dec. 28, 2002	PCT				
	1 187 485 A1	Mar. 13, 2002	EP				

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)		
A1	Li Q, et al. "XML Based Text TV" <i>Proceedings of the International Conference on Web Information Systems Engineering</i> XP002192977 (2000), pp. 109-113	
A2	Shim, et al. "Template Based Synchronized Multimedia Integration Language Authorizing Tool", <i>Proceedings of the SPIE, Bellingham, VA.</i> , Vol. 3964, (2000), pp. 134-142	

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EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.	